

Financial Management & Comptroller



A Probabilistic Method for Predicting Code Growth - 2018 Update

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Outline

SPACE AND MISSILE SYSTEMS CENTER

- Software Cost Estimating Process
- What is code growth?
- Existing Methodology - DSLOC Estimate Growth Model v7 (DEGM7)
- New Methodology - DSLOC Estimate Growth Model v8 (DEGM8)
 - Equations and Explanations
 - Technical Baseline Estimates (TBE)
 - Baseline Growth Amounts
 - Orthogonal Distance Regression (ODR)
 - Maturity
 - SRDR Filtering
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- Contact Information
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 - Variable Definition
 - Methodology Based on Specific Operating Environments

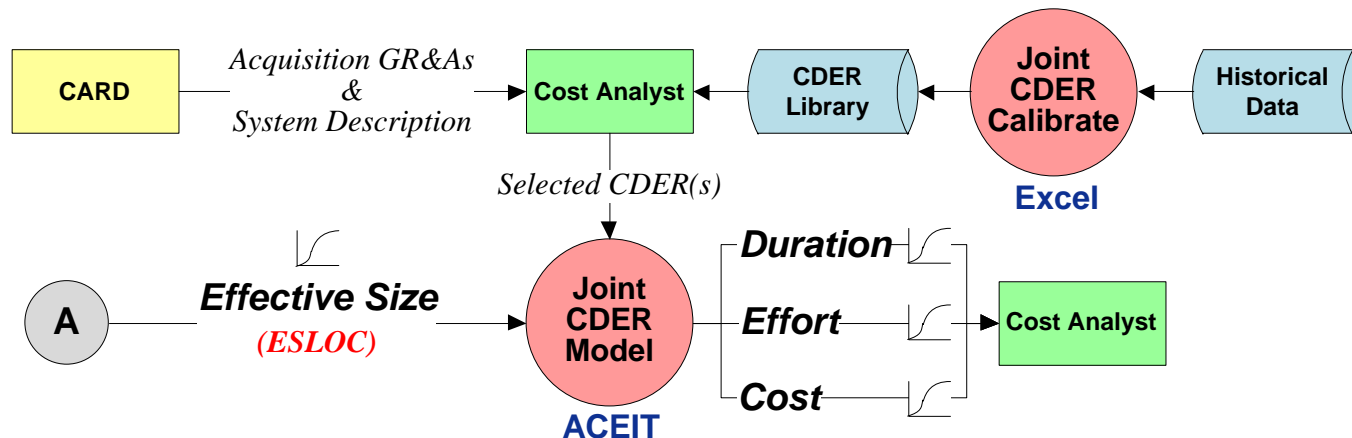
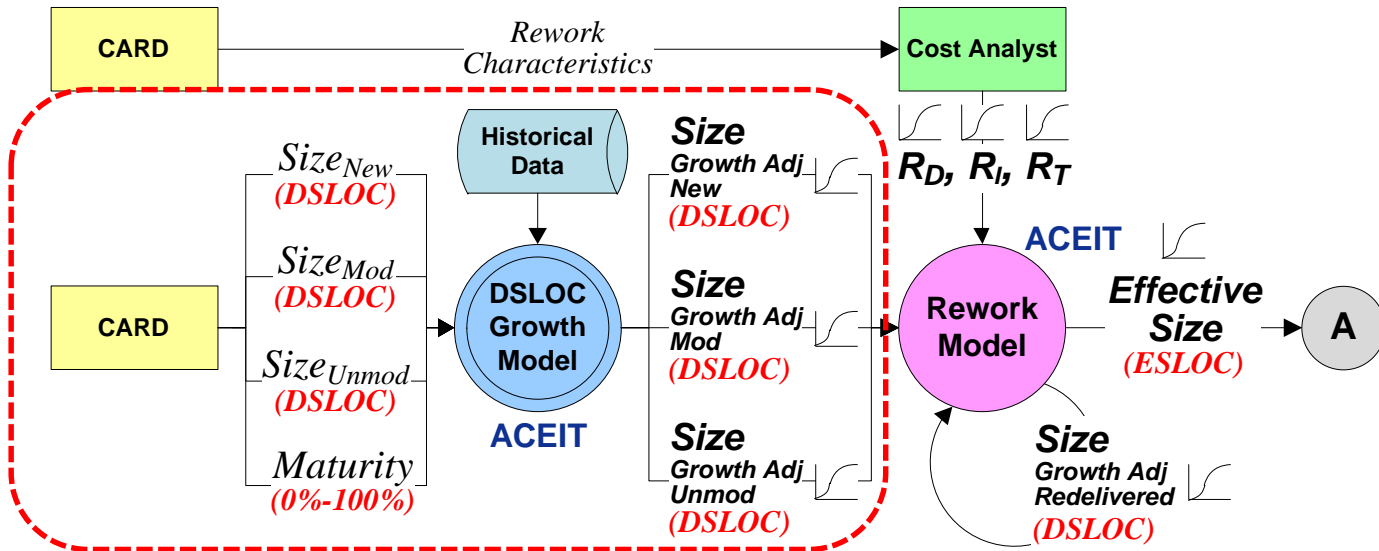


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r2-v2 SEF Process Flow: Creating New CDERs for the Library





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What is code growth?

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- Code growth is the difference between actual Delivered Source Lines of Code (DSLOC) of a completed software development project and its previously estimated DSLOC amount.

Actual DSLOC > Estimated DSLOC → Growth

Actual DSLOC < Estimated DSLOC → Growth (Shrink)

- Reasons for Code Growth:
 - The customers didn't know what they wanted at the start of the program
 - The mission/requirements (REQTS) changed (requirements volatility)
 - The vendor finished early so the customer thought up a few things to add
 - Software regulations have changed
 - Optimistic (e.g. overestimate of unmodified DSLOC)
 - Poor DSLOC TBE



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Existing Growth Methodology DSLOC Estimate Growth Model v7 (DEGM7)

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Baseline DSLOC Estimate Growth Factor CDFs

Candidate Data Set Statistics after Second Stage Filter

| ACE DSLOC Baseline Growth Factor Distribution Statistics | | | |
|---|------|---|------|
| New DSLOC Growth Factor | | Pre-Existing DSLOC Growth Factor | |
| Number of Data Points (N) | 56 | Number of Data Points (N) | 45 |
| Data Set Mean (m) | 1.75 | Data Set Mean (m) | 1.43 |
| CDF Mean (m') | 1.75 | CDF Mean (m') | 1.42 |
| %ile @ Data Set Mean (P(m)) | 69% | %ile @ Data Set Mean (P(m)) | 71% |
| %ile @ CDF Mean (P(m')) | 69% | %ile @ CDF Mean (P(m')) | 71% |
| %ile @ Point (P(pt)) | 29% | %ile @ Point (P(pt)) | 29% |
| Data Set Median m[-] | 1.20 | Data Set Median m[-] | 1.04 |
| CDF Median m'[-] | 1.19 | CDF Median m'[-] | 1.02 |
| Define a baseline growth factor distribution in ACE by using this value as the "Equation / Throughput" field entry with a custom CDF containing corresponding median-normalized growth factor values. | | Define a baseline growth factor distribution in ACE by using this value as the "Equation / Throughput" field entry with a custom CDF containing corresponding median-normalized growth factor values. | |
| Data Set Std Dev s | 1.33 | Data Set Std Dev s | 0.91 |
| CDF Std Dev s' | 1.32 | CDF Std Dev s' | 0.90 |
| Data Set CV (C[V]) | 0.76 | Data Set CV (C[V]) | 0.64 |
| CDF CV (C'[V]) | 0.75 | CDF CV (C'[V]) | 0.63 |

Based on Software Resources Data Report (SRDR) data collected by USAF AFCAA

3/21/2012 An Improved Method for Predicting Software Code Growth 8

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Estimate Maturity and the Software Development Life Cycle

Deriving the Decay Constant from Boehm's "Cone of Uncertainty"

Exponential trend function confirms Boehm decay constant of 3.466

Each software Developer

3/21/2012 An Improved Method for Predicting Software Code Growth 16

- What we are currently using:
 - Step 1: Baseline Growth (w/ uncertainty) applied to Technical Baseline
 - Based on DSLOC Estimate Growth Methodology (Ross, v07) using 2011 SRDR data
 - Factored Based Model
 - Step 2: Total growth discounted based on maturity
 - Barry Boehm's "Cone of Uncertainty"
 - Unchanged for DEGM8



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New Growth Methodology DSLOC Estimate Growth Model v8 (DEGM8)

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- This model represents a significant update and modernization of the DSLOC Estimate Growth Model version 7 (DEGM7) (Ross, 2011) in that:
 - It is based on additional data from the 2015 SRDR database.
 - It is based on a better method of regressing the historical data.
 - It recognizes non-linear relationships between size and growth.
 - It introduces error on the independent variable (DSLOC)
 - It decomposes the version 7 notion of Pre-existing reused software into Modified software and Unmodified software.
 - It recognizes correlation between New, Modified, and Unmodified growth.



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DSLOC Estimate Growth Model v8 (DEGM8) Growth Equations

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- The DEGM8 equations for applying growth and uncertainty to TBE New, Modified, and Unmodified DSLOC are shown in Figure 1

$$\begin{array}{c}
 \text{TBE DSLOC} \quad + \quad \text{Maturity-Adjusted Growth Amount (DSLOC)} \\
 \left[\text{TBE DSLOC} \right] + \left[\text{Maturity Adjustment Factor} \times \text{Baseline Growth Amount (DSLOC)} \right] \\
 \left[S_{DNew} \right] + \left[e^{-(Decay)(Maturity)} \left(\tilde{b}_{GN} \epsilon_{GN} \left(\frac{S_{DNew}}{K_N} \right)^{a_{GN}} K_N - S_{DNew} \right) \right] \\
 \left[S_{DMod} \right] + \left[e^{-(Decay)(Maturity)} \left(\tilde{b}_{GM} \epsilon_{GM} \left(\frac{S_{DMod}}{K_M} \right)^{a_{GM}} K_M - S_{DMod} \right) \right] \\
 \left[S_{DUmod} \right] + \left[e^{-(Decay)(Maturity)} \left(\tilde{b}_{GU} \epsilon_{GU} \left(\frac{S_{DUmod}}{K_U} \right)^{a_{GU}} K_U - S_{DUmod} \right) \right]
 \end{array}$$

$$\begin{aligned}
 S_{DGANew} &\triangleq S_{DNew} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GN} \epsilon_{GN} \left(\frac{S_{DNew}}{K_N} \right)^{a_{GN}} K_N - S_{DNew} \right) \\
 S_{DGAMod} &\triangleq S_{DMod} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GM} \epsilon_{GM} \left(\frac{S_{DMod}}{K_M} \right)^{a_{GM}} K_M - S_{DMod} \right) \\
 S_{DGAUmod} &\triangleq S_{DUmod} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GU} \epsilon_{GU} \left(\frac{S_{DUmod}}{K_U} \right)^{a_{GU}} K_U - S_{DUmod} \right)
 \end{aligned}$$

Figure 1 DEGM8 equations yield the sum of the appropriate TBE DSLOC value and its calculated DSLOC growth amount. The calculated DSLOC Growth amount is the product of the baseline DSLOC growth amount (zero maturity) and the calculated estimate maturity adjustment factor.

Variable Definition in backup



DEGM8 Growth Equations TBE DSLOC

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$$\begin{aligned}
 & \text{TBE DSLOC} + \text{Maturity-Adjusted Growth Amount (DSLOC)} \\
 & \text{Maturity Adjustment Factor} \times \text{Baseline Growth Amount (DSLOC) (SDLCBegin to SwAccept)} \\
 S_{DGAM_{New}} & \hat{=} S_{D_{New}} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GN} \epsilon_{GN} \left(\frac{S_{D_{New}}}{K_N} \right)^{a_{GN}} K_N - S_{D_{New}} \right) \\
 S_{DGAM_{Mod}} & \hat{=} S_{D_{Mod}} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GM} \epsilon_{GM} \left(\frac{S_{D_{Mod}}}{K_M} \right)^{a_{GM}} K_M - S_{D_{Mod}} \right) \\
 S_{DGAM_{Unmod}} & \hat{=} S_{D_{Unmod}} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GU} \epsilon_{GU} \left(\frac{S_{D_{Unmod}}}{K_U} \right)^{a_{GU}} K_U - S_{D_{Unmod}} \right)
 \end{aligned}$$

- The DEGM8 accepts, as input, Technical Baseline Estimate (TBE) amounts for New ($S_{D_{New}}$), Modified ($S_{D_{Mod}}$), and Unmodified DSLOC ($S_{D_{Unmod}}$).
 - They are rendered at various times during the program; Based on some combination of engineering analysis, relevant past program experience, and expert judgment
- These estimates represent the technical team's best guess as to what the final outcome New, Modified, and Unmodified DSLOC values will be when the system is delivered and accepted.



DEGM8 Growth Equations Baseline Growth Amounts (DSLOC)

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$$\begin{array}{l}
 \text{TBE DSLOC} + \text{Maturity-Adjusted Growth Amount (DSLOC)} \\
 \left[\text{Maturity Adjustment Factor} \right] \times \left[\text{Baseline Growth Amount (DSLOC) (SDLCBegin to SwAccept)} \right] \\
 S_{DGANew} \hat{=} S_{DNew} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GN} \epsilon_{GN} \left(\frac{S_{DNew}}{K_N} \right)^{a_{GN}} K_N - S_{DNew} \right) \\
 S_{DGAMod} \hat{=} S_{DMod} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GM} \epsilon_{GM} \left(\frac{S_{DMod}}{K_M} \right)^{a_{GM}} K_M - S_{DMod} \right) \\
 S_{DGAUmod} \hat{=} S_{DUmod} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GU} \epsilon_{GU} \left(\frac{S_{DUmod}}{K_U} \right)^{a_{GU}} K_U - S_{DUmod} \right)
 \end{array}$$

- DEGM8 introduces a new regression technique (ODR)
 - Baseline Growth Equation is now a power function rather than a factor
 - Historically, DoD SW intensive programs experience significant growth; this technique allows us to model error on the initial SLOC input
- $\tilde{b}_{G_}, a_{G_}, \epsilon_{G_} \rightarrow$ calculated as part of the regression technique
- $S_{D_}, K_{_} \rightarrow$ Inputs into Baseline Growth Equation



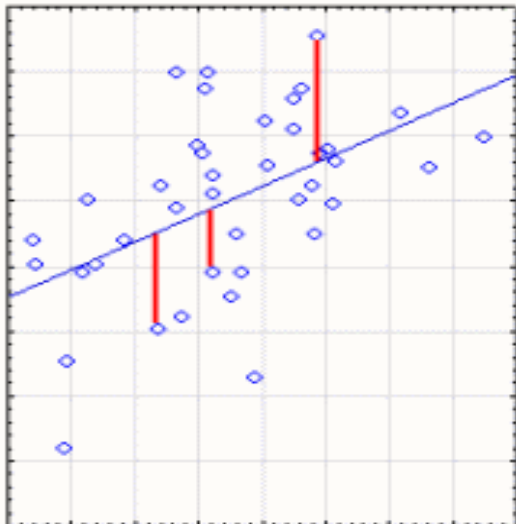
DEGM8 Orthogonal Distance Regression (ODR)

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- What is ODR?
 - A process for finding a “best fit” line (an estimator) through a multi-dimension set of data points (observations) by minimizing the sum of the squared orthogonal (shortest) distances between each data point and that line

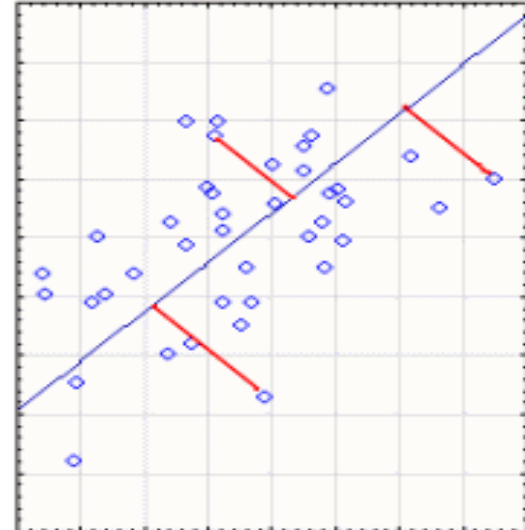
Ordinary Least Squares (OLS)

Minimizes the **vertical** distance between each data point and the regression line.



Orthogonal Distance Regression (ODR)

Minimizes the **Orthogonal** distance between each data point and the regression line.



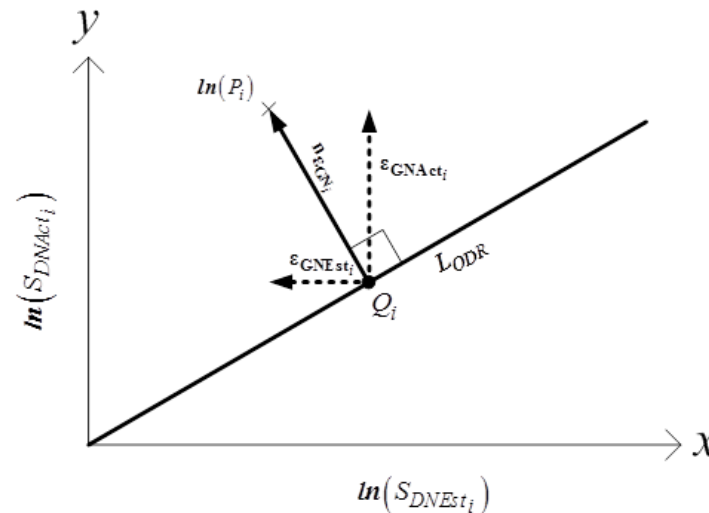


DEGM8 OLS vs. ODR

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Why is ODR better than Ordinary Least Squares (OLS) regression and its variants?

- Works in situations where there are more than two dimensions (measures) without making assumptions about which measure is dependent and which are independent (example: Space Flight Software)
- Accounts the existence of measurement error in all dimensions; not just in the “dependent” variable





Singular Value Decomposition (SVD) for DEGM8 Equation Coefficients

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- To find the system of equations that define an ODR best fit line we center the data set by using the data set centroid and then applying the SVD

Transform & center the data

$$\mathbf{M} \equiv \begin{bmatrix} \ln(S_{DNEst1}) - C_{\ln(\mathbf{P})S_{DNEst}} & \ln(S_{DNAct1}) - C_{\ln(\mathbf{P})S_{DNAct}} \\ \ln(S_{DNEst2}) - C_{\ln(\mathbf{P})S_{DNEst}} & \ln(S_{DNAct2}) - C_{\ln(\mathbf{P})S_{DNAct}} \\ \mathbf{M} & \mathbf{M} \\ \ln(S_{DNEstN}) - C_{\ln(\mathbf{P})S_{DNEst}} & \ln(S_{DNActN}) - C_{\ln(\mathbf{P})S_{DNAct}} \end{bmatrix}$$

Perform SVD

$$SVD(\mathbf{M}) \equiv \{\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^T\} \mid \mathbf{M} = \mathbf{U}\mathbf{\Sigma}\mathbf{V}^T$$

Define ODR coefficients using Direction Vector, \mathbf{a}

$$\begin{aligned} \mathbf{M} &= \mathbf{U} \begin{bmatrix} \Sigma_{1,1} & 0 \\ 0 & \Sigma_{2,2} \end{bmatrix} \begin{bmatrix} V_{1,1} & V_{1,2} \\ V_{2,1} & V_{2,2} \end{bmatrix}^T \\ &= \mathbf{U} \begin{bmatrix} \Sigma_{1,1} & 0 \\ 0 & \Sigma_{2,2} \end{bmatrix} \begin{bmatrix} a_{S_{DNEst}} & V_{1,2} \\ a_{S_{DNAct}} & V_{2,2} \end{bmatrix}^T \end{aligned}$$

- The resulting ODR best fit line is specified by a known point on the line (the data set centroid) and a direction vector (the column of the singular vector matrix that is associated with the largest singular value in the singular value matrix).

$$S_{DGANewBL} = b_{GN} S_{DNew} a_{GN}$$

Note: Please see paper for details on SVD and transformation of SVD results to ODR line



DEGM8 Growth Equations Maturity Adjustment Factor

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$$\begin{aligned}
 & \text{TBE DSLOC} + \text{Maturity-Adjusted Growth Amount (DSLOC)} \\
 & \text{Maturity Adjustment Factor} \times \text{Baseline Growth Amount (DSLOC)} \\
 & \text{(SDLCBegin to SwAccept)} \\
 S_{DGANew} & \triangleq S_{DNew} + e^{-(Decay)(Maturity)} \left(\delta_{GN} \epsilon_{GN} \left(\frac{S_{DNew}}{K_N} \right)^{a_{GN}} K_N - S_{DNew} \right) \\
 S_{DGAmod} & \triangleq S_{DMod} + e^{-(Decay)(Maturity)} \left(\delta_{GM} \epsilon_{GM} \left(\frac{S_{DMod}}{K_M} \right)^{a_{GM}} K_M - S_{DMod} \right) \\
 S_{DGAUmod} & \triangleq S_{DUmod} + e^{-(Decay)(Maturity)} \left(\delta_{GU} \epsilon_{GU} \left(\frac{S_{DUmod}}{K_U} \right)^{a_{GU}} K_U - S_{DUmod} \right)
 \end{aligned}$$

Estimate Maturity Scale

| | |
|-----------------|--|
| Maturity = 0% | Authorization to Proceed, SDLC Begin (ATP) |
| Maturity = 10% | System Specification/Requirements Review (SyRR) |
| Maturity = 20% | System Design Review / Software Specification/Requirements Review (SwRR) |
| Maturity = 40% | Software Preliminary (Top Level Architecture) Design Review (SwPDR) |
| Maturity = 60% | Software Critical (Detail) Design Review (SwCDR) |
| Maturity = 80% | Software Test Readiness Review (SwTRR) |
| Maturity = 100% | Software Acceptance / Final Qualification Test Complete (SwAccept) (DD250) |

- Maturity Adjustment Factor = $e^{-(Decay)(Maturity)} = e^{-(3.466)(Maturity)}$
- **Growth Decay**: Based on Boehm's (1981 pp. 310-311) Cone of Uncertainty. Given the limited amount of granular, periodic, and relevant historical DSLOC estimate data available, we used Boehm's (1981 pp. 310-311) Cone of Uncertainty as the DEGM8's default position.



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DEGM8 SRDR Filtering

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- The baseline (default) instance of the DEGM8 equation parameter values for New, Modified, and Unmodified DSLOC is based on a subset of 2015 Software Resources Data Report (SRDR) data collected and archived by the U.S. Department of Defense's Defense Cost and Resource Center (DCARC)
- Filter criteria:
 - SI: TRUE – the observation must represent a Computer Software Configuration Item (CSCI)-like Software Item (SI) (i.e., not a collection, summary, or roll-up of multiple CSCIs)
 - Nonphysical: TRUE – the observation's DSLOC values must not be measured in units of straight physical lines of code (i.e., they must be measured in logical lines of code (language statements) or non-comment physical lines of code)
 - GFValid: TRUE – the observation must contain DSLOC values to calculate New, Modified, and/or Unmodified DSLOC growth factors that are all inside three geometric standard deviations from their respective population (entire database) geometric mean (see Table 2 on the next slide)
- Database exhibit some CSCI's with unrealistic growth; they are obvious outliers in the database
 - 1 Example showed a CSCI with >100x's growth
 - Filtering out data at +/- 3 Geometric SD's is an attempt to unbiasedly remove those outliers



DEGM8 SRDR Filtering

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Table 2 Statistical outlier filtering comparison; regression JCDER349 with 3 geometric standard deviation statistical outlier filtering was chosen as the basis for the DEGM8

| | New DSLOC | | | Modified DSLOC | | | Unmodified DSLOC | | |
|--|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|---------------------|----------------------|
| | JCDER345 | JCDER349 | JCDER346 | JCDER345 | JCDER349 | JCDER346 | JCDER345 | JCDER349 | JCDER346 |
| Statistical Outlier Filtering: | None | 3 GeoSigma | 2 GeoSigma | None | 3 GeoSigma | 2 GeoSigma | None | 3 GeoSigma | 2 GeoSigma |
| Number of Data Points (observations): | 302 | 225 | 213 | 169 | 136 | 125 | 190 | 142 | 132 |
| Geometric (log space) mean of b: | 0.7947 | 1.2084 | 1.1137 | 1.4203 | 2.6508 | 1.9364 | 0.3723 | 0.6199 | 0.5499 |
| Arithmetic (unit space) mean of b: | 3.4927 | 1.7360 | 1.4867 | 4.9077 | 4.0600 | 2.6865 | 0.9409 | 0.7510 | 0.6345 |
| Standard deviation b: | 19.1832 | 1.8493 | 1.3418 | 18.4790 | 4.5566 | 2.3590 | 3.1087 | 0.6566 | 0.4408 |
| Coefficient of Variation (CV) b: | 5.49 | 1.07 | 0.90 | 3.77 | 1.12 | 0.88 | 3.30 | 0.87 | 0.69 |
| Arithmetic (unit space) mean of ε: | 1.9368 | 1.3665 | 1.2819 | 2.2755 | 1.5105 | 1.4362 | 1.5683 | 1.1911 | 1.1280 |
| Standard deviation of ε: | 3.2795 | 1.2238 | 0.9590 | 4.0775 | 1.6230 | 1.4924 | 1.9782 | 0.9296 | 0.6398 |
| Coefficient of Variation (CV) of ε: | 1.69 | 0.90 | 0.75 | 1.79 | 1.07 | 1.04 | 1.26 | 0.78 | 0.57 |
| Mean Magnitude of the Relative Error: | 61% | 44% | 39% | 67% | 50% | 44% | 43% | 24% | 22% |
| Implied Growth Factor at data set arithmetic mean baseline DSLOC: | 96% at 74,958 DSLOC | 53% at 59,443 DSLOC | 49% at 60,213 DSLOC | 31% at 45,547 DSLOC | 11% at 22,934 DSLOC | 10% at 23,216 DSLOC | 34% at 365,311 DSLOC | 7% at 251,323 DSLOC | 11% at 266,322 DSLOC |
| Implied Growth Factor at data set geometric mean baseline DSLOC: | 80% at 25,635 DSLOC | 50% at 23,035 DSLOC | 45% at 23,672 DSLOC | 33% at 9,161 DSLOC | 22% at 7,756 DSLOC | 17% at 7,808 DSLOC | 14% at 72,523 DSLOC | 1% at 70,790 DSLOC | 3% at 75,292 DSLOC |

Default Method based on filtering out +/- 3 Geometric SD



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Output (Default: All Paired Data – Filtered) ODR Equation

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| Coefficient | New | Modified | Unmodified |
|-------------|-------|----------|------------|
| $a_{G_}$ | 1.021 | 0.913 | 1.044 |
| $b_{G_}$ | 1.208 | 2.651 | 0.6199 |

Baseline Growth (New DSLOC)

- $b_{GN}\epsilon_{GN} \left(\frac{S_{DNew}}{K_N}\right)^{a_{GN}} K_N - S_{DNew} \rightarrow 1.208\epsilon_{GN} \left(\frac{S_{DNew}}{K_N}\right)^{1.021} K_N - S_{DNew}$

Baseline Growth (Mod DSLOC)

- $b_{GM}\epsilon_{GM} \left(\frac{S_{DMod}}{K_M}\right)^{a_{GM}} K_M - S_{DMod} \rightarrow 2.651\epsilon_{GM} \left(\frac{S_{DMod}}{K_M}\right)^{0.913} K_M - S_{DMod}$

Baseline Growth (Unmod DSLOC)

- $b_{GU}\epsilon_{GU} \left(\frac{S_{DUnmod}}{K_U}\right)^{a_{GU}} K_U - S_{DUnmod} \rightarrow 0.6199\epsilon_{GU} \left(\frac{S_{DUnmod}}{K_U}\right)^{1.044} K_U - S_{DUnmod}$



Output (Default: All Paired Data – Filtered) Cumulative Distribution Function (CDF)

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- $\epsilon_{GN}, \epsilon_{GM}, \epsilon_{GU}$

| JCDER349 (Custom Growth CDFs) | | | |
|-------------------------------|-------------------|-------------------|-------------------|
| Percentile | JCDER349_e_GN_CDF | JCDER349_e_GM_CDF | JCDER349_e_GU_CDF |
| 5 | 0.22780002 | 0.19797695 | 0.31476088 |
| 10 | 0.29379456 | 0.26905482 | 0.52151790 |
| 15 | 0.42005378 | 0.37202772 | 0.69719375 |
| 20 | 0.51067177 | 0.44448984 | 0.80244290 |
| 25 | 0.58960123 | 0.58049465 | 0.87663594 |
| 30 | 0.72495221 | 0.74853580 | 0.93359197 |
| 35 | 0.86357696 | 0.88755418 | 0.95855498 |
| 40 | 0.94805461 | 0.96684746 | 0.97517640 |
| 45 | 1.07607675 | 1.04115081 | 0.99675890 |
| 50 | 1.13572756 | 1.12987711 | 1.01372680 |
| 55 | 1.21842846 | 1.17893913 | 1.02592816 |
| 60 | 1.33350780 | 1.20158562 | 1.04526460 |
| 65 | 1.44006179 | 1.29116776 | 1.09504214 |
| 70 | 1.48139681 | 1.37838414 | 1.13383614 |
| 75 | 1.51552892 | 1.51895071 | 1.16555251 |
| 80 | 1.63383151 | 1.67307957 | 1.26139028 |
| 85 | 1.86949605 | 2.17763327 | 1.39181571 |
| 90 | 2.68118931 | 4.02790186 | 1.77923586 |
| 95 | 3.85981488 | 5.56055883 | 2.52099764 |
| 100 | 8.80095370 | 8.68058303 | 6.98289033 |

CDFs above are abbreviated for this presentation



Output (Default: All Paired Data – Filtered) Correlation

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| <i>Correlation Matrix</i> | | | |
|---------------------------|------------------|-----------------------|-------------------------|
| | <i>New DSLOC</i> | <i>Modified DSLOC</i> | <i>Unmodified DSLOC</i> |
| <i>New DSLOC</i> | 1 | | |
| <i>Modified DSLOC</i> | 2.569663E-03 | 1 | |
| <i>Unmodified DSLOC</i> | 3.024662E-01 | 7.466961E-02 | 1 |

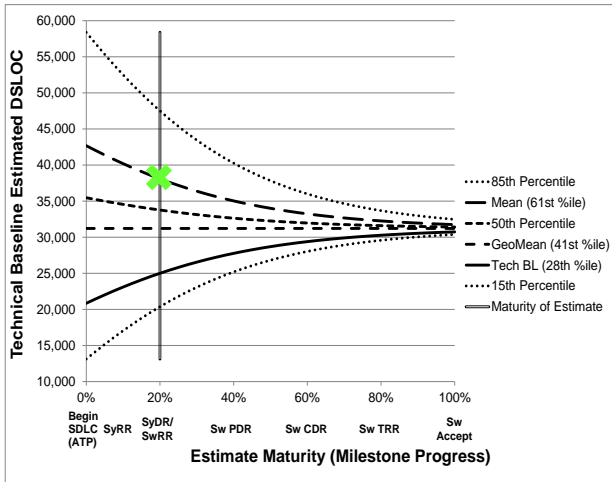
- Correlation between DSLOC type
 - New & Modified: 0.00257
 - New & Unmodified: 0.302
 - Modified & Unmodified: 0.0745
- For this particular subset, correlation between growth is weak and will have little impact on result
- When we start to investigate growth by operating environment, there is evidence of stronger correlations
- Interesting to note that negativity correlation may exist



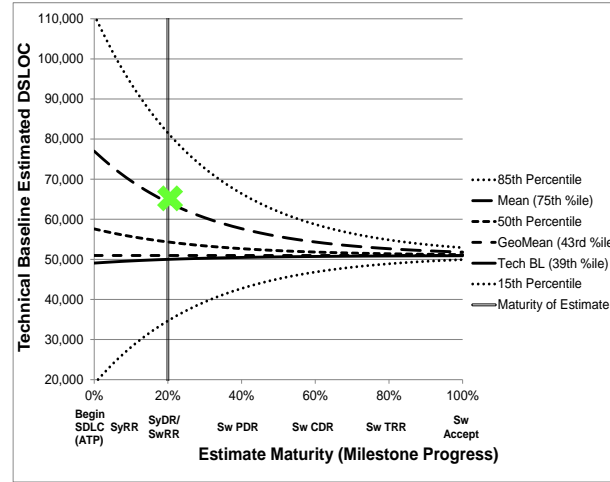
Output (Default: All Paired Data – Filtered) Notional Example

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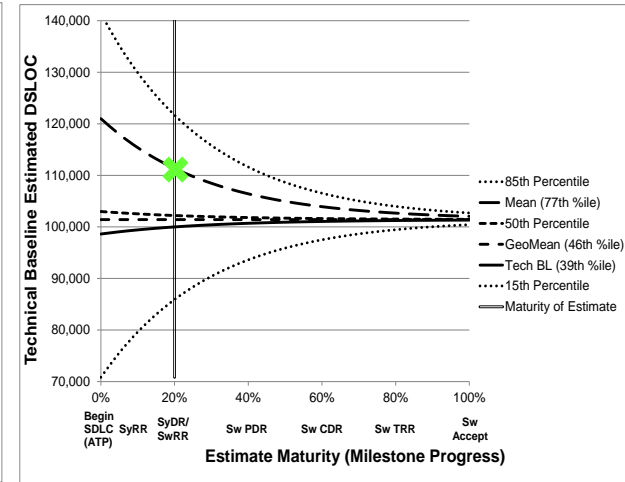
New DSLOC Growth



Modified DSLOC Growth



Unmodified DSLOC Growth



- Assume estimating NAV CSCI for Ground System
 - TBEs for New, Modified, and Unmodified software size are 25,000 DSLOC, 50,000 DSLOC, and 100,000 DSLOC respectively
 - 1 CSCI (normalization of the TBEs to the historical data is unnecessary)
 - Assume SLOC estimate rendered at SwRR (20% maturity)
 - Assume based on Boehm's (1981 pp. 310-311) Cone of Uncertainty
 - Assume methodology based on Default Methodology (All Paired Filtered Data)

✗ Represents mean growth at SwRR for Notional Program



Outline

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- Software Cost Estimating Process
- What is code growth?
- Existing Methodology - DSLOC Estimate Growth Model v7 (DEGM7)
- New Methodology - DSLOC Estimate Growth Model v8 (DEGM8)
 - Equations and Explanations
 - Technical Baseline Estimates (TBE)
 - Baseline Growth Amounts
 - Orthogonal Distance Regression (ODR)
 - Maturity
 - SRDR Filtering
 - Outputs
- **Conclusion**
- Contact Information
- References
- Backup
 - Variable Definition
 - Methodology Based on Specific Operating Environments



Conclusions/Way Ahead

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- Our latest methodology (DEGM8) is based on a better method of regressing the historical data.
 - It recognizes non-linear relationships between size and growth.
 - Decomposes modified and unmodified software growth methodologies
 - It accounts for correlation between New, Modified, and Unmodified growth.
- Way Ahead
 - Update database with 2017 SRDR
 - Continue Flight Software data collection efforts
 - Rerun the data analysis for additional software operating environments, application domains, and other characteristics of interest.
 - Create a specific growth model for each Joint Cost and Duration Estimating Relationship (JCDER)



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BACKUP

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DEGM8 Growth Equations Baseline Growth Amounts (DSLOC)

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$$S_{DGANew} \triangleq S_{DNew} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GN} \epsilon_{GN} \left(\frac{S_{DNew}}{K_N} \right)^{a_{GN}} K_N - S_{DNew} \right)$$

$$S_{DGAMod} \triangleq S_{DMod} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GM} \epsilon_{GM} \left(\frac{S_{DMod}}{K_M} \right)^{a_{GM}} K_M - S_{DMod} \right)$$

$$S_{DGAUmod} \triangleq S_{DUmod} + e^{-(Decay)(Maturity)} \left(\tilde{b}_{GU} \epsilon_{GU} \left(\frac{S_{DUmod}}{K_U} \right)^{a_{GU}} K_U - S_{DUmod} \right)$$

- S_{DGANew} \equiv **Output** – growth-adjusted New DSLOC distribution of outcomes with associated attainment probability³
- S_{DGAMod} \equiv **Output** – growth-adjusted Modified DSLOC estimate distribution of outcomes with associated attainment probability
- $S_{DGAUmod}$ \equiv **Output** – growth-adjusted Unmodified DSLOC estimate distribution of outcomes with associated attainment probability
- \triangleq \equiv Estimator equality symbol; the left expression estimates the right expression
- S_{DNew} \equiv **Input** – Technical Baseline Estimate (TBE) of New DSLOC
- S_{DMod} \equiv **Input** – Technical Baseline Estimate (TBE) of Modified DSLOC

- S_{DUmod} \equiv **Input** – Technical Baseline Estimate (TBE) of Unmodified DSLOC
- $Decay$ \equiv **Model** – Decay constant; default is 3.466 based on Boehm's (1981 pp. 310-311) *Cone of Uncertainty*
- $Maturity$ \equiv **Input** – Estimate Maturity Parameter: (SDLCBegin (ATP, Contract Award) = 0%; SyRR = 10%; SwRR = 20%; SwPDR = 40%; SwCDR = 60%; SwTRR = 80%; SwAccept = 100%)^{4,5}
- ϵ_{GN} \equiv **Model** – Baseline (SDLCBegin⁶) New DSLOC growth error factor distribution of outcomes with associated attainment probability; approximated by Custom CDF in Appendix A
- ϵ_{GM} \equiv **Model** – Baseline (SDLCBegin) Modified DSLOC growth error factor distribution of outcomes with associated attainment probability; approximated by Custom CDF in Appendix A
- ϵ_{GU} \equiv **Model** – Baseline (SDLCBegin) Unmodified DSLOC growth error factor distribution of outcomes with associated attainment probability; approximated by Custom CDF in Appendix A
- a_{GN}, a_{GM}, a_{GU} \equiv **Model** – Exponent parameters for New, Modified, and Unmodified DSLOC growth estimating relationships that are calculated by the regression process
- $\tilde{b}_{GN}, \tilde{b}_{GM}, \tilde{b}_{GU}$ \equiv **Model** – Geometric mean (arithmetic mean in log space) scale factor parameters for New, Modified, and Unmodified DSLOC growth estimating relationships that are calculated by the regression process
- K_N, K_M, K_U \equiv **Input** – Software Item (SI) to Computer Software Configuration Item (CSCI) normalization factors for New, Modified, and Unmodified DSLOC



Output (Default: All Pair Data – Filtered) ODR Equation

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| | |
|--|---|
| DSLOC Estimate Growth Model Version: Version 8 | |
| Version 8 DSLOC Estimate Growth Model Regression Method: ODR | |
| DSLOC Estimate Growth Model Equations and Variables | |
| New DSLOC Growth Equation: | $S[DGANew] \triangleq \exp(-(Decay * Maturity)) * (\hat{b}[GN] * \epsilon[GN]) * (S[DNNew]/K[N])^{a[GN]} * K[N] - S[DNNew] + S[DNNew]}$ |
| Modified DSLOC Growth Equation: | $S[DGAMod] \triangleq \exp(-(Decay * Maturity)) * (\hat{b}[GM] * \epsilon[GM]) * (S[DMMod]/K[M])^{a[GM]} * K[M] - S[DMMod] + S[DMMod]}$ |
| Unmodified DSLOC Growth Equation: | $S[DGAMod] \triangleq \exp(-(Decay * Maturity)) * (\hat{b}[GU] * \epsilon[GU]) * (S[DUMod]/K[U])^{a[GU]} * K[U] - S[DUMod] + S[DUMod]}$ |
| where: | |
| $a[GN] = 1.021$ | $a[GM] = 0.913$ |
| $e \equiv 2.7183$ | $Decay \equiv 3.466$ |
| $a[GU] = 1.044$ | |
| bG_{-} | |
| List Statistics | [GN] [GM] [GU] |
| Number of Data Points (observations): | 225 136 142 |
| Geometric (log space) mean of b: | 1.208E+00 2.651E+00 6.199E-01 |
| Arithmetic (unit space) mean of b: | 1.736E+00 4.060E+00 7.510E-01 |
| Standard deviation of b: | 1.849E+00 4.557E+00 6.566E-01 |
| Coefficient of Variation (CV) b: | 1.07 1.12 0.87 |
| Arithmetic (unit space) mean of ϵ : | 1.366E+00 1.510E+00 1.191E+00 |
| Standard deviation of ϵ : | 1.224E+00 1.623E+00 9.296E-01 |
| Coefficient of Variation (CV) of ϵ : | 0.90 1.07 0.78 |
| Mean Magnitude of the Relative Error: | 44% 50% 24% |
| Correlation | |
| New to Modified DSLOC Correlation: | 2.570E-03 |
| New to Unmodified DSLOC Growth Correlation: | 3.025E-01 |
| Growth Factor Estimating Relationships Behavior | New DSLOC Growth Modified DSLOC Growth Unmodified DSLOC Growth |
| Implied Growth Factor at data set mean baseline DSLOC: | 53% at 59,443 DSLOC 11% at 22,934 DSLOC 7% at 251,323 DSLOC |
| Implied Growth Factor at data set geometric mean baseline DSLOC: | 50% at 23,035 DSLOC 22% at 7,756 DSLOC 1% at 70,790 DSLOC |

| Percentile | JCDER349 (Custom Growth CDFs) | | |
|------------|-------------------------------|-------------------|-------------------|
| | JCDER349_e_GN_CDF | JCDER349_e_GM_CDF | JCDER349_e_GU_CDF |
| 5 | 0.22780002 | 0.19797695 | 0.31476088 |
| 10 | 0.29379456 | 0.26905482 | 0.52151790 |
| 15 | 0.42005378 | 0.37202772 | 0.69719375 |
| 20 | 0.51067177 | 0.44448984 | 0.80244290 |
| 25 | 0.58960123 | 0.58049465 | 0.87663594 |
| 30 | 0.72495221 | 0.74853580 | 0.93359197 |
| 35 | 0.86357696 | 0.88755418 | 0.95855498 |
| 40 | 0.94805461 | 0.96684746 | 0.97517640 |
| 45 | 1.07607675 | 1.04115081 | 0.99675890 |
| 50 | 1.13572756 | 1.12987711 | 1.01372680 |
| 55 | 1.21842846 | 1.17893913 | 1.02592816 |
| 60 | 1.33350780 | 1.20158562 | 1.04526460 |
| 65 | 1.44006179 | 1.29116776 | 1.09504214 |
| 70 | 1.48139681 | 1.37838414 | 1.13383614 |
| 75 | 1.51552892 | 1.51895071 | 1.16555251 |
| 80 | 1.63383151 | 1.67307957 | 1.26139028 |
| 85 | 1.86949605 | 2.17763327 | 1.39181571 |
| 90 | 2.68118931 | 4.02790186 | 1.77923586 |
| 95 | 3.85981488 | 5.56055883 | 2.52099764 |
| 100 | 8.80095370 | 8.68058303 | 6.98289033 |



Operating Environment: Fixed Ground

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r2 Software Estimating Framework (r2SEF)
Joint Cost and Duration Estimating Relationship (JCDER) Data Sheet (continued)

ER351: Version 8 DSLOC Growth Model Baseline w/ GF3 Valid Filtering Only: Fixed Ground Log

DSLOC Estimate Growth Model Version: Version 8

Version 8 DSLOC Estimate Growth Model Regression Method: ODR

DSLOC Estimate Growth Model Equations and Variables

New DSLOC Growth Equation: $S[DGANew] \triangleq \exp(-(\text{Decay} * \text{Maturity})) * (\hat{b}[GN] * \epsilon[GN]) * (S[DNNew]/K[N])^{a[GN]} * K[N] - S[DNNew] + S[DNNew]$

Modified DSLOC Growth Equation: $S[DGAMod] \triangleq \exp(-(\text{Decay} * \text{Maturity})) * (\hat{b}[GM] * \epsilon[GM]) * (S[DMMod]/K[M])^{a[GM]} * K[M] - S[DMMod] + S[DMMod]$

nmodified DSLOC Growth Equation: $S[DGAUmod] \triangleq \exp(-(\text{Decay} * \text{Maturity})) * (\hat{b}[GU] * \epsilon[GU]) * (S[DUmod]/K[U])^{a[GU]} * K[U] - S[DUmod] + S[DUmod]$

where:

$a[GN] = 1.050$ $a[GM] = 0.743$ $a[GU] = 1.275$
 $e \equiv 2.7183$ $\text{Decay} \equiv 3.466$

List Statistics

| | [GN] | [GM] | [GU] |
|--|-----------|-----------|-----------|
| Number of Data Points (observations): | 48 | 23 | 27 |
| Geometric (log space) mean of b: | 1.001E+00 | 1.373E+01 | 4.149E-02 |
| Arithmetic (unit space) mean of b: | 1.537E+00 | 1.844E+01 | 5.440E-02 |
| Standard deviation of b: | 1.742E+00 | 1.332E+01 | 5.191E-02 |
| Coefficient of Variation (CV) b: | 1.13 | 0.72 | 0.95 |
| Arithmetic (unit space) mean of ε: | 1.474E+00 | 1.489E+00 | 1.189E+00 |
| Standard deviation of ε: | 1.524E+00 | 1.591E+00 | 7.854E-01 |
| Coefficient of Variation (CV) of ε: | 1.03 | 1.07 | 0.66 |
| Average Magnitude of the Relative Error: | 48% | 53% | 29% |

New to Modified DSLOC Correlation: 1.399E-01

New to Unmodified DSLOC Growth Correlation: -2.361E-01

JCDER351 (Custom Growth CDFs)

| Percentile | JCDER351_e_GN_CDF | JCDER351_e_GM_CDF | JCDER351_e_GU_CDF |
|------------|-------------------|-------------------|-------------------|
| 5 | 0.22762555 | 0.28879716 | 0.20892398 |
| 10 | 0.29074110 | 0.37224510 | 0.34600877 |
| 15 | 0.32450063 | 0.50217307 | 0.52600805 |
| 20 | 0.49757245 | 0.53306236 | 0.68244218 |
| 25 | 0.56743434 | 0.56872118 | 0.70682042 |
| 30 | 0.65072762 | 0.58978237 | 0.86921910 |
| 35 | 0.75314420 | 0.59989374 | 0.95937548 |
| 40 | 0.89216252 | 0.60525967 | 1.07448472 |
| 45 | 0.92656909 | 0.73663852 | 1.08934477 |
| 50 | 1.00538050 | 0.81376043 | 1.10352074 |
| 55 | 1.19923930 | 1.08173528 | 1.11561548 |
| 60 | 1.28910046 | 1.19985968 | 1.14117023 |
| 65 | 1.59952201 | 1.34534346 | 1.16954904 |
| 70 | 1.71706921 | 1.50988568 | 1.31184404 |
| 75 | 1.76029032 | 1.57758170 | 1.45401684 |
| 80 | 1.86695537 | 1.76417333 | 1.49489554 |
| 85 | 2.23053443 | 2.45455265 | 1.64792676 |
| 90 | 2.86419186 | 4.20737863 | 1.74796409 |
| 95 | 4.32474823 | 5.51392208 | 2.87021541 |
| 100 | 8.46006991 | 6.46463042 | 4.08600963 |



Operating Environment: Mobile Ground

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r2 Software Estimating Framework (r2SEF)
Joint Cost and Duration Estimating Relationship (JCDER) Data Sheet (continued)

SR354: Version 8 DSLOC Growth Model Baseline w/ GF3 Valid Filtering Only: Mobile Ground Log

DSLOC Estimate Growth Model Version: Version 8

Version 8 DSLOC Estimate Growth Model Regression
Method: ODR

DSLOC Estimate Growth Model Equations and Variables

New DSLOC Growth Equation: $S[DGANew] \triangleq \exp(-Decay * Maturity) * (\hat{b}[GN] * \epsilon[GN]) * (S[DNNew]/K[N])^{a[GN]} * K[N] - S[DNNew] + S[DNNew]$

Modified DSLOC Growth Equation: $S[DGAMod] \triangleq \exp(-Decay * Maturity) * (\hat{b}[GM] * \epsilon[GM]) * (S[DMMod]/K[M])^{a[GM]} * K[M] - S[DMMod] + S[DMMod]$

Unmodified DSLOC Growth Equation: $S[DGAUmod] \triangleq \exp(-Decay * Maturity) * (\hat{b}[GU] * \epsilon[GU]) * (S[DUMod]/K[U])^{a[GU]} * K[U] - S[DUMod] + S[DUMod]$

where:

$a[GN] = 0.965$ $a[GM] = 1.023$ $a[GU] = 1.039$
 $e \equiv 2.7183$ $Decay \equiv 3.466$

List Statistics

| | [GN] | [GM] | [GU] |
|--|-----------|-----------|-----------|
| Number of Data Points (observations): | 24 | 18 | 13 |
| Geometric (log space) mean of b: | 2.483E+00 | 1.548E+00 | 6.739E-01 |
| Arithmetic (unit space) mean of b: | 3.692E+00 | 2.727E+00 | 7.831E-01 |
| Standard deviation of b: | 3.937E+00 | 3.605E+00 | 4.552E-01 |
| Coefficient of Variation (CV) of b: | 1.07 | 1.32 | 0.58 |
| Arithmetic (unit space) mean of ε: | 1.402E+00 | 1.443E+00 | 1.237E+00 |
| Standard deviation of ε: | 1.238E+00 | 9.067E-01 | 1.097E+00 |
| Coefficient of Variation (CV) of ε: | 0.88 | 0.63 | 0.89 |
| Average Magnitude of the Relative Error: | 47% | 55% | 22% |

New to Modified DSLOC Correlation: 3.601E-02

New to Unmodified DSLOC Growth Correlation: 5.369E-02

| Percentile | JCDER501_e_GN_CDF | JCDER501_e_GM_CDF | JCDER501_e_GU_CDF |
|------------|-------------------|-------------------|-------------------|
| 5 | 0.45013479 | 0.14330357 | 0.14007886 |
| 10 | 0.49553145 | 0.27018380 | 0.15824144 |
| 15 | 0.58140578 | 0.30099591 | 0.21667083 |
| 20 | 0.63885153 | 0.51185844 | 0.46130186 |
| 25 | 0.79090244 | 0.53448611 | 0.47783022 |
| 30 | 0.90596191 | 0.56632174 | 0.51610214 |
| 35 | 1.00962111 | 0.57671453 | 0.62626453 |
| 40 | 1.05811515 | 0.60395873 | 0.68075791 |
| 45 | 1.08394523 | 1.02760795 | 0.71319113 |
| 50 | 1.12029311 | 1.12965659 | 0.77768603 |
| 55 | 1.13898871 | 1.28950264 | 1.04813803 |
| 60 | 1.15677483 | 1.46473355 | 1.30497673 |
| 65 | 1.17698810 | 1.57600172 | 1.42833668 |
| 70 | 1.22701029 | 1.63317056 | 1.63640911 |
| 75 | 1.24858575 | 1.69296394 | 2.11570968 |
| 80 | 1.32829118 | 1.77517725 | 2.77989412 |
| 85 | 1.38402221 | 2.06913012 | 4.41452196 |
| 90 | 1.51039009 | 6.37208295 | 6.97308778 |
| 95 | 1.61373561 | 7.53914949 | 10.00927893 |
| 96 | 3.56984543 | 7.64541359 | 10.62341473 |



Operating Environment: Unmanned Space

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JCDER501: All Growth Eligible

DSLOC Estimate Growth Model Version: Version 8

Version 8 DSLOC Estimate Growth Model Regression
Method: ODR

DSLOC Estimate Growth Model Equations and Variables

New DSLOC Growth Equation: $S[DGANew] \hat{=} exp(-(Decay * Maturity)) * (\hat{b}[GN] * \epsilon[GN])^*$
 $S[DNew] / K[N] \wedge a[GN] * K[N]$

Modified DSLOC Growth Equation: $S[DGAMod] \hat{=} exp(-(Decay * Maturity)) * (\hat{b}[GM] * \epsilon[GM])^*$
 $S[DMod] / K[M] \wedge a[GM] * K[M]$

Unmodified DSLOC Growth Equation: $S[DGAMod] \hat{=} exp(-(Decay * Maturity)) * (\hat{b}[GU] * \epsilon[GU])^*$
 $S[DUnmod] / K[U] \wedge a[GU] * K[U]$

where:

| | | |
|---------------------|---------------------|---------------------|
| $a[GN] = 1.074$ | $a[GM] = 0.709$ | $a[GU] = 1.265$ |
| $Decay[GN] = 0.088$ | $Decay[GM] = 0.333$ | $Decay[GU] = 2.336$ |

List Statistics

| | [GN] | [GM] | [GU] |
|---------------------------------------|-----------|-----------|-----------|
| Number of Data Points (observations): | 35 | 26 | 33 |
| Geometric (log space) mean of b: | 5.187E-01 | 1.421E+01 | 5.016E-02 |
| Arithmetic (unit space) mean of b: | 5.656E-01 | 2.296E+01 | 6.070E-02 |
| Standard deviation of b: | 2.510E-01 | 2.959E+01 | 3.430E-02 |
| Coefficient of Variation (CV) b: | 0.44 | 1.29 | 0.57 |
| Arithmetic (unit space) mean of ε: | 1.101E+00 | 1.769E+00 | 2.152E+00 |
| Standard deviation of ε: | 5.436E-01 | 2.205E+00 | 3.060E+00 |
| Coefficient of Variation (CV) of ε: | 0.49 | 1.25 | 1.42 |
| Mean Magnitude of the Relative Error: | 110% | 177% | 215% |

New to Modified DSLOC Correlation: 5.868E-01

New to Unmodified DSLOC Growth Correlation: -8.293E-02

Growth Factor Estimating Relationships Behavior

| | New DSLOC Growth | Modified DSLOC Growth | Unmodified DSLOC Growth |
|--|----------------------|-----------------------|-------------------------|
| Implied Growth Factor at data set mean baseline DSLOC: | 23% at 119,750 DSLOC | -37% at 45,778 DSLOC | -3% at 70,527 DSLOC |
| Implied Growth Factor at data set geometric mean baseline DSLOC: | 16% at 55,026 DSLOC | -10% at 13,140 DSLOC | -10% at 52,272 DSLOC |

| Percentile | JCDER501_e_GN_CDF | JCDER501_e_GM_CDF | JCDER501_e_GU_CDF |
|------------|-------------------|-------------------|-------------------|
| 5 | 0.45013479 | 0.14330357 | 0.14007886 |
| 10 | 0.49553145 | 0.27018380 | 0.15824144 |
| 15 | 0.58140578 | 0.30099591 | 0.21667083 |
| 20 | 0.63885153 | 0.51185844 | 0.46130186 |
| 25 | 0.79090244 | 0.53448611 | 0.47783022 |
| 30 | 0.90596191 | 0.56632174 | 0.51610214 |
| 35 | 1.00962111 | 0.57671453 | 0.62626453 |
| 40 | 1.05811515 | 0.60395873 | 0.68075791 |
| 45 | 1.08394523 | 1.02760795 | 0.71319113 |
| 50 | 1.12029311 | 1.12965659 | 0.77768603 |
| 55 | 1.13898871 | 1.28950264 | 1.04813803 |
| 60 | 1.15677483 | 1.46473355 | 1.30497673 |
| 65 | 1.17698810 | 1.57600172 | 1.42833668 |
| 70 | 1.22701029 | 1.63317056 | 1.63640911 |
| 75 | 1.24858575 | 1.69296394 | 2.11570968 |
| 80 | 1.32829118 | 1.77517725 | 2.77989412 |
| 85 | 1.38402221 | 2.06913012 | 4.41452196 |
| 90 | 1.51039009 | 6.37208295 | 6.97308778 |
| 95 | 1.61373561 | 7.53914949 | 10.00927893 |
| 96 | 3.56984543 | 7.64541359 | 10.62341473 |

- DEGM8SV (Space Vehicle)
 - Lack of Flight Software data in SRDR database
 - Performed data collection
 - Insufficient ATP DSLOC estimates
 - Modified DEGM8 to account insufficient data